AMA Journal of Ethics®

May 2018, Volume 20, Number 5: 475-482

MEDICINE AND SOCIETY

Gun Violence Research and the Profession of Trauma Surgery

Allan B. Peetz, MD, and Adil Haider, MD, MPH

Abstract

The effects of violence are clearly a central component of any trauma surgeon's job. The role trauma surgeons should play in its prevention and advocacy, however, is not clearly defined. In this article, we discuss the statistics and lack of research on gun violence and survey some of the moral frameworks that define a trauma surgeon's professional responsibilities in violence prevention at a practice and a policy level.

The Context: Some Basic Facts on Gun Violence and Gun Violence Research in the US

Gun violence is responsible for approximately 35 000 deaths per year in the US—roughly equivalent to 96 gun-related deaths per day [1]. Mass shootings account for less than 1 percent of all gun-related deaths in the US [2]. Most gun-related deaths in the US are from suicide or homicide (65 percent and 35 percent, respectively) [3, 4], with 70 percent of homicides being gun-related [5] and states with more guns having more homicides [6]. A person's odds of dying from gun injuries increase at least twofold just by living in a house with a gun [7-9]. A systematic review and meta-analysis found that access to a gun increases the odds of suicide threefold [8].

Gun violence in the US far exceeds that of any other developed nation [10]. Homicides by gun violence in the US number approximately 29.7 per 1 million annually; the next closest developed nation is Switzerland, with 7.7 per 1 million annually [11]. In addition to gun-related deaths, an estimated 81 114 Americans were injured, but not killed, by guns annually between 2011 and 2015 [1]. There are now more guns in the US than there are people [12].

Simply having this information has important implications, and perhaps moral relevance, for trauma surgeons who practice in an environment where gun violence is a key pathology and a primary clinical concern. A <u>public health approach to gun violence</u> has provided some insight into ways to prevent injury and death from firearms. Studies have provided epidemiological data on gun violence, including the prevalence of gun ownership and its correlation with gun violence—specifically, homicide victimization and suicide—that have important implications for public health interventions and policies that could effectively decrease the burden of gun violence [6-9, 13-16]. But, as we discuss below, this approach has been limited by underfunding. All this poses an ethical

dilemma for the trauma surgeon whose duty is in part tied to advocating for fair and just allocation of resources—including the benefits of research—to an entire population of people affected by gun violence.

Gun Violence Research

Advancing research on gun-related injury prevention is severely constrained by current governmental policies. Due to restrictions, research for gun violence is severely underfunded [3, 17, 18]. This deficit is most clearly seen when funding for gun-related research is compared to funding for diseases with similar burdens on public health. Sepsis, for example, kills about the same number of people as guns do per year [18, 19]. Over the past ten years, however, the federal government has provided \$3 billion of research funding for sepsis, while gun-related research has received less than 1 percent of that for sepsis [18].

The constraints on federal funding for gun-related research are largely attributable to a rider placed in a 1996 federal spending bill commonly referred to as the "Dickey Amendment," which eliminated any federal funds from being used for injury prevention research that could "be used to advocate or promote gun control" [20]. While the Dickey Amendment does not make gun violence research illegal, the provision has proved to be extraordinarily successful in preventing advances in gun violence prevention research. Since the bill's passage, any gun-related research that has been conducted essentially excluded federal research funding, which has greatly reduced the number of potential contributions to the scientific literature on this very real public health problem [21]. Without more rigorous research, which would require substantial funding, our understanding of gun violence is limited to correlations and educated guesses. It's clear that much more research is needed before we will be able to establish a mature understanding of gun violence and to develop consequential public health interventions.

Population-Based Bioethics and Justice

How resources are allocated to public health interventions—and gun violence prevention in particular—poses a problem of justice from a population-based perspective [22] and for understanding the trauma surgeon's role. Currently, the distribution of public health research funding does not reflect the effect that gun violence has on the population relative to other health conditions [17]. In a strictly economic sense, the population of people who are dying of gun violence is getting less access to and benefit from the production of research than the population of patients dying from other comparable diseases. Said another way, when it comes to the disease and public health problem of gun violence [23, 24], the distribution of the benefits of research and access to actionable knowledge is inequitable and outright unjust. But there are also very real historical and political factors that are relevant to and need to be considered in discussions of gun violence prevention, including the cultural and constitutional importance of guns in American life. While these aspects are outside the scope of this

article, it important to recognize that any meaningful public health discussion will include these aspects. And, as specialists in treating the disease of gun violence, trauma surgeons should play a role in <u>gun violence prevention</u> and advocacy.

Trauma Surgery and the Moral Consequences of Taking Care of Patients Injured by Guns

Contemporary clinical ethical analysis of the issues posed by gun violence poses a different set of questions for the trauma surgeon. In contrast to the population-based ethics, contemporary clinical ethical questions are often concerned with the examination and judgment of personal conduct rather than theories of justice. Trauma surgeons' practice and larger professional community serve to define the qualities that constitute their professional identity. And, like all professional identities, trauma surgeons' professional identity prescribes and reflects a set of specific moral values and expectations of personal conduct. Although trauma surgeons are only a segment of health care professionals who care for victims of gun violence, an assessment of the trauma surgeon's professional identify suggests that trauma surgeons have a moral role to play in these patients' lives [25].

Trauma surgeons are responsible for tending the needs of victims of gun violence, surgical and otherwise, and for providing continuity of care that extends beyond inpatient care. They might be the only clinicians to provide follow-up care or outpatient continuity of care. Because of this relationship, trauma surgeons can and do take some responsibility for treating the effects of gun violence while simultaneously witnessing the unjust effects of gun violence and the complex economic and sociocultural determinants of health that sometimes coincide with gun violence.

Yet the system impedes trauma surgeons' ability to fulfill their responsibilities. The deficit in the available literature on gun violence, along with the unique constraints on gun violence research, places those who treat the injuries caused by guns in a moral dilemma. Trauma surgeons—who are both contributors to research and direct consumers of the advances of research—must fulfill a unique set of clinical and professional responsibilities within a special set of legal and economic constraints. More specifically, trauma surgeons work within a system that impedes their ability to fulfill their clinical and professional duties because policies impose severe constraints on their ability to conduct the research necessary to advance the treatment and prevention of the public health problem they specialize in treating. Thus, trauma surgeons are posed with an ethical question regarding their profession: what responsibility does trauma surgery—and do trauma surgeons and trauma centers—have in addressing the knowledge deficit and the advancement of public health interventions when it comes to the disease of gun violence?

At a practical level, it's common sense and common practice that most physicians with clinical responsibilities play some role in prevention of disease, even if that role is limited to the scope of the specialty, particularly if it's a matter of secondary prevention. Gastroenterologists screen for recurrent colon cancers, urologists monitor patients who have had prostate cancer, and cardiologists monitor and manage blood pressures and cholesterol levels after heart attacks. Why wouldn't we expect trauma surgeons to approach the effects of gun violence in the same way? In other words, if gun violence is a public health problem, just like strokes or cancer or HIV or motor vehicle collisions, then the profession of trauma surgery is, in part, defined by its role in gun violence prevention as well.

This aspect of trauma surgery's responsibility is also reflected in the activities of the societies that represent the trauma surgery community. Both of the largest professional associations—the American Association for the Surgery of Trauma and the Eastern Association for the Surgery of Trauma—have committees dedicated to policy, advocacy, and research on injury prevention that reflect an ownership, as a community, of the disease of gun violence [26, 27]. This ownership is also reflected in dedicated scientific sessions—including grand rounds and plenary and paper presentations at societal meetings—that are dedicated to the science and academic discussion of gun violence as a public health problem [28-30]. The activities of professional organizations reflect the professional identity and therefore the ethical duties of trauma surgeons, which are characterized by both treatment and prevention of violence. Thus trauma surgeons' professional societies give moral weight to their role in gun violence prevention [31, 32], and this moral weight is heavy enough to bestow a moral obligation upon individual trauma surgeons.

At a system-based level, this professional responsibility is reflected in the fact that the American College of Surgeons <u>trauma center verification</u> process requires a dedicated injury prevention program with dedicated participation by trauma physicians and nurses at level I, II, III, and IV trauma centers [33]. Hospitals aren't recognized as trauma centers without these programs that identify the root causes of injury, partner with other organizations in injury prevention efforts, and use monitoring tools to assess prevention effectiveness [33]. What this means for the trauma surgeon is that injury prevention constitutes not only a defining characteristic of a trauma surgeon's professional responsibility but also a fundamental part of any dedicated trauma system.

Conclusion

The trauma surgeon's fiduciary responsibility to patients affected by gun violence is special because it encompasses both treatment and prevention of gun violence, both clinical and public health ethics. An examination of the ethical questions posed by this responsibility reveals the moral values and standards we hold as the physicians who care for victims of gun violence. Whether this moral obligation was present before it was

reflected in trauma surgery's professional identity or stems from that identity, the trauma surgeon has a moral role when it comes to addressing the public health problem of gun violence in the US.

References

- 1. Everytown for Gun Safety Support Fund. Gun violence by the numbers. https://everytownresearch.org/gun-violence-by-the-numbers/. Accessed December 10, 2017.
- 2. Peralta E. Study: most gun deaths happen outside of mass shootings. *NPR*. February 1, 2013. https://www.npr.org/sections/thetwo-way/2013/02/01/170872321/study-most-gun-deaths-happen-outside-of-mass-shootings. Accessed March 19, 2018.
- 3. Taichman DB, Bauchner H, Drazen JM, Laine C, Peiperl L. Firearm-related injury and death: a US health care crisis in need of health care professionals. *Ann Intern Med.* 2017:167(11):824-825.
- 4. Matthews D. There are more gun suicides than gun homicides in America. *Vox.* June 13, 2016. https://www.vox.com/cards/gun-violence-facts/gun-suicide-homicide-common. Accessed December 5, 2017.
- 5. Xu J, Murphy SL, Kochanek KD, Bastian BA. Deaths: final data for 2013. *Natl Vital Stat Rep.* 2016;64(2):1-119.
- 6. Miller M, Hemenway D, Azrael D. State-level homicide victimization rates in the US in relation to survey measures of household firearm ownership, 2001–2003. *Soc Sci Med.* 2007;64(3):656-664.
- 7. Anglemyer A, Horvath T, Rutherford G. The accessibility of firearms and risk for suicide and homicide victimization among household members: a systematic review and meta-analysis. *Ann Intern Med.* 2014;160(2):101-110.
- 8. Kellermann AL, Rivara FP, Rushforth NB, et al. Gun ownership as a risk factor for homicide in the home. *N Engl J Med.* 1993;329(15):1084-1091.
- 9. Miller M, Lippmann SJ, Azrael D, Hemenway D. Household firearm ownership and rates of suicide across the 50 United States. *J Trauma*. 2007;62(4):1029-1034.
- 10. Fisher M. What makes America's gun culture totally unique in the world, in four charts. Washington Post. December 15, 2012. https://www.washingtonpost.com/news/worldviews/wp/2012/12/15/what-makes-americas-gun-culture-totally-unique-in-the-world-as-demonstrated-in-four-charts/?utm_term=.10642f17708e. Accessed December 8, 2017.
- 11. Lopez G. How gun control works in America, compared with 4 other rich countries. *Vox.* https://www.vox.com/policy-and-politics/2015/12/4/9850572/gun-control-us-japan-switzerland-uk-canada. Updated November 5, 2017. Accessed February 5, 2018.
- 12. Ingraham C. There are now more guns than people in the United States.

 Washington Post. October 5, 2015.

 https://www.washingtonpost.com/news/wonk/wp/2015/10/05/guns-in-the-

- united-states-one-for-every-man-woman-and-child-and-then-some/?utm_term=.7abc57b01569. Accessed March 19, 2018.
- 13. Briggs J, Tabarrok A. It's simple: fewer guns, fewer suicides. *Slate*. December 12, 2013.
 - http://www.slate.com/articles/news_and_politics/crime/2013/12/gun_owner ship_causes_higher_suicide_rates_study_shows.html. Accessed November 30, 2017.
- 14. Crandall M, Eastman A, Violano P, et al. Prevention of firearm-related injuries with restrictive licensing and concealed carry laws: an Eastern Association for the Surgery of Trauma systematic review. *J Trauma Acute Care Surg.* 2016;81(5):952-960.
- 15. Lubin G, Werbeloff N, Halperin D, Shmushkevitch M, Weiser M, Knobler HY. Decrease in suicide rates after a change of policy reducing access to firearms in adolescents: a naturalistic epidemiological study. *Suicide Life Threat Behav*. 2010;40(5):421-424.
- 16. Matthews D. Did gun control work in Australia? *Washington Post*. August 2, 2012. https://www.washingtonpost.com/news/wonk/wp/2012/08/02/did-gun-control-work-in-australia/. Accessed Decmeber 6, 2017.
- 17. Healy M. Research on gun violence is severely underfunded compared with other causes of death. *Los Angeles Times*. January 3, 2017. http://www.latimes.com/science/sciencenow/la-sci-sn-gun-violence-research-20170103-story.html. Accessed December 10, 2017.
- 18. Stark DE, Shah NH. Funding and publication of research on gun violence and other leading causes of death. *JAMA*. 2017;317(1):84-85.
- 19. Institute for Health Metrics and Evaluation. GBD compare. http://vizhub.healthdata.org/gbd-compare. Accessed December 2, 2017.
- 20. Omnibus Consolidated Appropriations Act of 1997, Pub L No. 104-208, 110 Stat 3009, 244.
- 21. Alcorn T. Trends in research publications about gun violence in the United States, 1960 to 2014. *JAMA Intern Med.* 2017;177(1):124-126.
- 22. Wikler D, Brock DW. Population-level bioethics: mapping a new agenda. In: Dawson A, Verweij M, eds. *Ethics, Prevention, and Public Health*. New York, NY: Oxford University Press; 2007:78-94.
- 23. Slutkin G. Violence is a contagious disease. In: Patel DM, Simon MA, Taylor RM, eds. *Contagion of Violence: Workshop Summary*. Washington, DC: National Academies Press; 2013:94-111.
 - https://www.nap.edu/read/13489/chapter/8#94. Accessed March 20, 2018.
- 24. Slutkin G, Ransford C, Zvetina D. How the health sector can reduce violence by treating it as a contagion. *AMA J Ethics*. 2018;20(1):47-55.
- 25. Haider AH. Preventing trauma surgeons from becoming family doctors. *Ann Intern Med.* 2013;158(4):291-292.

- 26. American Association for the Surgery of Trauma. Prevention Committee. http://www.aast.org/PreventionCommittee.aspx. Accessed March 19, 2018.
- 27. Eastern Association for the Surgery of Trauma. Injury Control and Violence Prevention Committee. https://www.east.org/membership/memberservices/roster/7/injury-control-and-violence-prevention-committee. Accessed March 19, 2018.
- 28. Kuhls DA. Gun violence: science and advocacy firearm injuries: epidemiology, prevention and advocacy [videotape]. *National Trauma Grand Rounds*. Chicago, IL: American Association for the Surgery of Trauma; December 8, 2016. http://www.aast.org/gun-violence-science-and-advocacy#. Accessed March 19, 2018.
- 29. Resnick S, Smith RN, Beard J, et al. Firearm deaths in America: can we learn from the almost half-million lives lost? Paper presented at: 137th Annual Meeting of the American Surgical Association; April 20–22, 2017; Philadelphia, PA. http://www.americansurgical.org/meeting/abstracts/2017/3.cgi. Accessed March 19, 2018.
- 30. Schwab CW. Violence: America's uncivil war—presidential address, Sixth Scientific Assembly of the Eastern Association for the Surgery of Trauma. *J Trauma*. 1993;35(5):657-665.
- 31. Kuhls DA, Campbell BT, Burke PA, et al; American College of Surgeons Committee on Trauma. Survey of American College of Surgeons Committee on trauma members on firearm injury: consensus and opportunities. *J Trauma Acute Care Surg.* 2017;82(5):877-886.
- 32. Weinberger SE, Hoyt DB, Lawrence HC 3rd, et al. Firearm-related injury and death in the United States: a call to action from 8 health professional organizations and the American Bar Association. *Ann Intern Med*. 2015;162(7):513-516.
- 33. American College of Surgeons Committee on Trauma. *Resources for Optimal Care of the Injured Patient*. Chicago, IL: American College of Surgeons; 2014. https://www.facs.org/~/media/files/quality%20programs/trauma/vrc%20resources/resources%20for%20optimal%20care.ashx. Accessed March 19, 2018.

Allan B. Peetz, MD, is a trauma and critical care surgeon at Vanderbilt University Medical Center in Nashville, Tennessee, where he is also a member of the affiliated faculty in the Center for Biomedical Ethics and Society. Dr. Peetz's research focuses on ethical issues in trauma surgery.

Adil Haider, MD, MPH, is a trauma surgeon at Brigham and Women's Hospital and the Kessler Director of the Center for Surgery and Public Health at Harvard Medical School in Boston. His research focuses on the effects of race, sex, sexual orientation, and socioeconomic status on health care disparities.

Related in the AMA Journal of Ethics

AMA Policies and AMA *Code of Medical Ethics'* Opinions Related to Responding to Violence, January 2018

Clinicians' Need for an Ecological Approach to Violence Reduction, January 2018

How the Health Sector Can Reduce Violence by Treating It as a Contagion, January 2018

Stop Posturing and Start Problem Solving: A Call for Research to Prevent Gun Violence,
January 2018

What Are Ethical Implications of Regionalization of Trauma Care?, May 2018
What Is the Institutional Duty of Trauma Systems to Respond to Gun Violence?, May 2018

What Should Be the Scope of Physicians' Roles in Responding to Gun Violence?, January 2018

The viewpoints expressed in this article are those of the author(s) and do not necessarily reflect the views and policies of the AMA.

Copyright 2018 American Medical Association. All rights reserved. ISSN 2376-6980